

Ref. No:0375Date:25/10/23Subject:Serious Incidents and Incident Reporting

### REQUEST

- 1. The number of serious incidents declared for the last five financial years and for 2023/24 so far by year and type.
- 2. The date of serious incident declarations, the corresponding incident date and the corresponding reported date for the last five financial years and for 2023/24 so far.
- 3. The number of de-escalations (serious incidents that have been declared and then agreed not a serious incident with commissioners) for the last five financial years and for 2023/24 so far by month and year and type.
- 4. The number of reported patient safety incidents for the last five financial years and for 2023/24 so far by month and year, type and harm level.
- 5. The number of other reported incidents for the last five financial years and for 2023/24 so far by month and year, type and harm level.
- 6. The Trusts incident reporting systems such as DatixWeb, DatixCloud, In Phase, Radar, Ulysses or other (please name).
- 7. Number of beds (base number) in the Trust.

## RESPONSE

St Helens and Knowsley Teaching Hospitals NHS Trust and Southport and Ormskirk Hospital NHS Trust became a single legal entity known as Mersey and West Lancashire Teaching Hospitals NHS Trust on 1<sup>st</sup> July 2023, as such parts of the response may be provided in two sections relating to the hospital sites of each of the legacy organisations.

1. The number of serious incidents declared for the last five financial years and for 2023/24 so far - by year and type.

Incidents by	Year						
Legacy Site	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	
St Helens and Knowsley	55	38	81	76	74	19	
Southport and Ormskirk	57	48	25	19	30	11	

# 2. The date of serious incident declarations, the corresponding incident date and the corresponding reported date for the last five financial years and for 2023/24 so far.

This cannot be provided as the response would be personalised / too identifiable to the patients affected.

### Section 40: personal information

We are unable to provide precise figures when those figures refer to individuals in volumes of 5 or less due to the risk that individuals will be reidentified, as we are required to protect their identity under the General Data Protection Regulations & Data Protection Act 2018. In such circumstances Section 40(2) and Section 40(3) of the Freedom of Information Act apply. In this case, our view is that disclosure would breach the first data protection principle which states that personal data should be processed "lawfully, fairly and in a transparent manner". It is the lawful aspect of this principle which, in our view, would be breached by disclosure, and in such circumstances, Section 40 confers an absolute exemption on disclosure.

3. The number of de-escalations (serious incidents that have been declared and then agreed not a serious incident with commissioners) for the last five financial years and for 2023/24 so far - by month and year and type.

Incidents by	Year					
Legacy Site	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24
St Helens and Knowsley	0	0	1	0	1	0
Southport and Ormskirk	5	2	1	0	3	0

# 4. The number of reported patient safety incidents for the last five financial years and for 2023/24 so far – by month and year, type and harm level.

Please find information attached (tab Q4 Part A for month/year and harm and tab Q4 Part B for type).

#### Section 40: personal information

Please note <5 has been added where the number of individuals is 5 or less, this information has been redacted to protect the confidentiality of individuals and to ensure they cannot be identified.

# 5. The number of other reported incidents for the last five financial years and for 2023/24 so far - by month and year, type and harm level.

Please find information attached (tab Q4 Part C for month/year and harm and tab Q4 Part D for type).

#### Section 40: personal information

Please note <5 has been added where the number of individuals is 5 or less, this information has been redacted to protect the confidentiality of individuals and to ensure they cannot be identified.

# 6. The Trusts incident reporting systems such as DatixWeb, DatixCloud, In Phase, Radar, Ulysses or other (please name).

DatixWeb

### 7. Number of beds (base number) in the Trust.

St Helens and Knowsley sites:

Site	Beds		
St Helens	84		
Whiston	857		
Total	941		

Southport and Ormskirk sites:

Site	Beds		
Southport	354		
Ormskirk	98		
Total	452		